# **EXHIBIT 81 FILED UNDER SEAL**

#### Case 3:17-cv-00939-WHA Document 588-13 Filed 06/12/17 Page 2 of 8 HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY MICHAEL A. JACOBS (CA SBN 111664) 1 MJacobs@mofo.com 2 ARTURO J. GONZÁLEZ (CA SBN 121490) AGonzalez@mofo.com 3 ERIC A. TATE (CA SBN 178719) ETate@mofo.com MORRISON & FOERSTER LLP 4 425 Market Street 5 San Francisco, California 94105-2482 Telephone: 415.268.7000 6 Facsimile: 415.268.7522 7 Attorneys for Defendants UBER TECHNOLOGIES, INC., OTTOMOTTO LLC, and OTTO TRUCKING LLC 8 9 KAREN L. DUNN (Pro Hac Vice) kdunn@bsfllp.com HAMISH P.M. HUME (*Pro Hac Vice*) 10 hhume@bsfllp.com BOIES SCHILLER FLEXNER LLP 11 1401 New York Avenue, N.W. 12 Washington DC 20005 Telephone: 202.237.2727 13 Facsimile: 202.237.6131 14 Attorneys for Defendants UBER TECHNOLOGIES, INC. and OTTOMOTTO LLC 15 16 UNITED STATES DISTRICT COURT 17 NORTHERN DISTRICT OF CALIFORNIA 18 SAN FRANCISCO DIVISION 19 WAYMO LLC, 3:17-cv-00939-WHA Case No. 20 Plaintiff. DEFENDANTS' OBJECTIONS AND **RESPONSES TO PLAINTIFF** 21 WAYMO LLC'S NOTICE OF v. **DEPOSITION OF JAMES HASLIM** 22 UBER TECHNOLOGIES, INC., AND REQUESTS FOR OTTOMOTTO LLC; OTTO TRUCKING LLC, PRODUCTION OF DOCUMENTS 23 AND THINGS Defendants. 24 Honorable William Alsup 25 26 27 28

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Defendants Uber Technologies, Inc., Ottomotto LLC ("Ottomotto"), and Otto Trucking
LLC (collectively "Defendants") hereby object and respond to the Notice of Deposition of James
Haslim and Requests for Production of Documents and Things, served by Plaintiff Waymo LLC
("Waymo").

#### **RESPONSES TO SPECIFIC DOCUMENT REQUESTS**

#### **REQUEST FOR PRODUCTION NO. 1:**

2.1

Documents sufficient to identify all LiDAR-related work former Waymo or Google employees have done for Defendants since leaving Waymo, including whether or not that work led to or related to any prototype or device.

#### **RESPONSE TO REQUEST FOR PRODUCTION NO. 1:**

Defendants will produce communications from the custodial files of Scott Boehmke, Dan Gruver, James Haslim, and sufficient to show the requested information.

Defendants have produced documents responsive to this Request in connection with the Requests for Production served with Asheem Linaval's Notice of Deposition. In addition, Defendants have made the Fuji and Owl devices available for inspection and will make components of the Spider available for inspection.

To the extent Request for Production No. 1 requests anything other than the previously described information, Defendants object to this Request as not as overly broad, not "very narrowly drawn," and not proportional to the needs of the case, insofar as it seeks documents concerning "all LiDAR-related work."

#### **REQUEST FOR PRODUCTION NO. 2:**

Documents sufficient to describe and identify the "In-house custom built 64-laser (Class 1) emitting 6.4 million beams a second at 10Hz" LiDAR system referenced in Otto's September 15, 2016 statement to Nevada authorities, and the identity of any related LiDAR systems.

#### **RESPONSE TO REQUEST FOR PRODUCTION NO. 2:**

Defendants respond that Uber did not and does not have a LiDAR that corresponds to the description in Otto's September 19, 2016 submission to the Nevada DMV. As Otto clarified in its March 15, 2017 letter to the Nevada DMV, while Otto has been developing its own LiDAR

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systems, it has not yet deployed an in-house custom built 64-laser. At the time of the original
September 19, 2016 submission, Uber was working on its Spider design, which was a fiber laser
based LiDAR design that was intended to have 64 channels and designed to operate at 3.2 million
beams per second, but was never completed. Components of that conceptual device will be
available for Waymo's inspection today or tomorrow morning.
REQUEST FOR PRODUCTION NO. 3:
Documents sufficient to show any consideration by Defendants of a LiDAR design with a
single lens.
RESPONSE TO REQUEST FOR PRODUCTION NO. 3:
Defendants will produce PowerPoint presentations, notes, spreadsheets and schematics
from the custodial files of Scott Boehmke and James Haslim sufficient to show the requested
information.
To the extent Request for Production No. 3 requests anything other than the previously
described information, Defendants object to this Request as overly broad, not "very narrowly
drawn," and not proportional to the needs of the case insofar as it concerns "any consideration by
Defendants of a LiDAR design with a single lens."
REQUEST FOR PRODUCTION NO. 4:
Documents related to the LIDAR-related in UBER00006451, including how
such
RESPONSE TO REQUEST FOR PRODUCTION NO. 4:
After a diligent investigation, Defendants respond that they have not located any
documents responsive to this request.
To the extent Request for Production No. 4 requests anything other than the previously
described information, Defendants object to this Request as overly broad, not "very narrowly
drawn," and not proportional to the needs of the case insofar as it concerns
Defendants object to this Request as seeking

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material covering subject matter about which Mr. Haslim does not have personal knowledge, and as seeking material outside the scope of his Declaration.

#### **REQUEST FOR PRODUCTION NO. 5:**

2.1

Documents sufficient to show any ethical wall or policy regarding Anthony Levandowki's participation or input into Defendants' LiDAR designs or other self-driving car technology, and the reasons for any such policy.

#### **RESPONSE TO REQUEST FOR PRODUCTION NO. 5:**

After a diligent investigation, Defendants respond that they have not located any documents responsive to this request.

To the extent Request for Production No. 5 requests anything other than the previously described information, Defendants object to this Request as overly broad, not "very narrowly drawn," and not proportional to the needs of the case insofar as it concerns "any ethical wall or policy" or "any such policy."

#### **REQUEST FOR PRODUCTION NO. 6:**

Documents sufficient to explain Defendants' decision to use multiple laser diodes arranged on a curved transmit PCB in the Fuji design, and the diode alignment and tuning process in such design.

#### **RESPONSE TO REQUEST FOR PRODUCTION NO. 6:**

Defendants will produce PowerPoint presentations, notes, spreadsheets, schematics and communications from the custodial files of Scott Boehmke and James Haslim sufficient to show the requested information regarding the use of laser diodes on the transmit PCB. After a diligent investigation, Defendants respond that they have not located any documents responsive to the request regarding the diode alignment and tuning process.

To the extent Request for Production No. 6 requests anything other than the previously described information, Defendants object to this Request as overly broad, not "very narrowly drawn," and not proportional to the needs of the case insofar as it concerns any decision relating to "multiple laser diodes arranged on a curved transmit PCB."

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#### **APPENDIX**

# Information on Document Collection and Production Under Supplemental Order ¶ 13

Defendants further provide information on their collection efforts under Paragraph 13 of the Supplemental Order. As of April 16, 2017, Defendants have searched the following sources of information that may contain material responsive to Waymo's requests for production:

- Defendants' custodial document repositories (e.g. email repositories, Google Docs, locally stored electronic documents)
- Defendants' internal non-custodial document repositories (e.g. Slack channels, Git repository, personnel files)
- Publicly-available websites on the Internet

As of April 16, 2017, the following individuals employed by Defendants have searched for documents that may contain material responsive to Waymo's requests for production:

- Asheem Linaval search responsibility for documents relating to LiDAR designs and development and communications concerning LiDAR.
- Rhian Morgan search responsibility for documents relating to Ottomotto's human resources policies and procedures.
- David Meall search responsibility for documents relating to Uber ATG's recruiting policies and procedures.
- Kevin Faulkner search responsibility for documents relating to the forensic analysis performed by Stroz Friedberg pursuant to the March 13, 2017 engagement letter.
- search responsibility for documents relating to Uber's decision to acquire Otto.
- Scott Boehmke documents relating to LiDAR designs and development.
- documents relating to LiDAR designs and development.
- Dan Gruver documents relating to LiDAR designs and development.
- James Haslim documents relating to LiDAR designs and development.

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1	- documents relating to LiDAR designs and development.
2	<ul> <li>documents relating to LiDAR development.</li> </ul>
3	Max Levandowski – documents relating to LiDAR designs and development.
4	<ul> <li>documents relating to LiDAR designs and development.</li> </ul>
5	Gaetan Pennecot – documents relating to LiDAR designs and development.
6	- documents relating to LiDAR designs and development.
7	- documents relating to LiDAR designs and development.
8	<ul> <li>documents relating to LiDAR designs and development.</li> </ul>
9	documents relating to LiDAR designs and development.
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